Table 8-6. Recommended Quality Assurance and Quality Control Samples

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
External Calibration				
Calibration standards (3-5 standards over the expected range of sample target analyte concentrations, with the lowest concentration standard at or near the MDL; see Section 8.3.3.2.1)	Full calibration: Establish relationship between instrument response and target analyte concentration. Used for organics analysis by GC/ECD and for metals analysis.	Instrument/method dependent; follow manufacturer's recommendations or procedures in specific analytical protocols. At a minimum, perform a 3-point calibration each time an instrument is set up for analysis, after each major equipment change or disruption, and when routine calibration check exceeds specific control limits.	*Organics*: RSD of RFs of calibration standards $\leq$20%. *Metals*: %R of all calibration standards = 95-105.	Determine cause of problem (e.g., instrument instability or malfunction, contamination, inaccurate preparation of calibration standards) and take appropriate corrective action. Recalibrate and reanalyze all suspect samples or flag all suspect data.
Internal Standard Calibration				
Instrument internal standards (e.g., 2,2'-difluorobiphenyl) (see Section 8.3.3.2.1 for definition)	Full calibration: Determine RRFs of organic target analytes for quantitative analysis. Required for internal calibration of GC/MS systems. Optional calibration technique for GC/ECD.	In every calibration standard, sample, and blank analyzed; added to final sample extract. Internal standard calibration performed at same frequency recommended for external calibration.	RSD of RRFs of calibration standards $\leq$30%.	Determine cause of problem (e.g., instrument instability or malfunction, contamination, inaccurate preparation of internal standards or calibration standards) and take appropriate corrective action. Recalibrate and reanalyze all suspect samples or flag all suspect data.

(continued)

Table 8-6 (continued)

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
Calibration Verification				
Calibration check standards (minimum of one mid-range standard prepared independently from initial calibration standards; an instrument internal standard must be added to each calibration check standard when internal standard calibration is being used; see Section 8.3.3.2.1)	Verify calibration.	*Organics (GC/MS)*: After initial calibration or recalibration. At beginning and end of each work shift, and once every 12 h (or every 10-12 analyses, whichever is more frequent). *Organics (GC/ECD)*: After initial calibration or recalibration. At beginning and end of each work shift, and once every 6 h (or every 6 samples, whichever is less frequent). *Metals*: After initial calibration or recalibration. Every 10 samples or every 2 h, whichever is more frequent.	*Organics*: Percent difference between the average RF (or RRF) from initial calibration and the RF (or RRF) from the calibration check $\leq$25%. *Mercury*: %R = 80-120. *Other Metals*: %R = 90-110.	Determine cause of problem (e.g., instrument instability or malfunction, contamination, inaccurate preparation of calibration standards) and take appropriate corrective action. Recalibrate and reanalyze all suspect samples or flag all suspect data.
Method Detection Limit Determination				
Spiked matrix samples (analyte-free tissue samples to which known amounts of target analytes have been added; one spike for each target analyte at 3-5 times the estimated MDL; see Section 8.3.3.3.1)	Establish or confirm MDL for analyte of interest (Keith, 1991a; Keith et al., 1983).	Seven replicate analyses prior to use of method for routine analyses, and after any significant change to a method currently in use. Reevaluation of MDL annually.	Determined by program manager.	Redetermine MDL.

(continued)

Table 8-6 (continued)

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
Accuracy and Precision Assessment				
Reference materials[c] (see Section 8.3.3.1 for definitions) (SRMs or CRMs, prepared from actual contaminated fish or shellfish tissue if possible, covering the range of expected target analyte concentrations.	Assess method performance (initial method validation and routine accuracy assessment).	*Method validation*: As many as required to assess accuracy (and precision) of method before routine analysis of samples (i.e., when using a method for the first time or after any method modification).	*Organics:* Measured value <95% confidence intervals, if certified. Otherwise, %R = 70-130.[d] *Metals:* %R = 85-115.[d]	NA
		Routine accuracy assessment: one (preferably blind) per 20 samples or one per batch, whichever is more frequent.	*Organics:* Measured value <95% confidence intervals, if certified. Otherwise, %R = 70-130.[d] *Metals:* %R = 85-115.[d]	Determine cause of problem (e.g., inaccurate calibration, contamination), take appropriate corrective action, and reanalyze all suspect samples or flag all suspect data.

(continued)

Table 8-6 (continued)

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
Laboratory control samples (Accuracy-based samples consisting of fish or shellfish tissue homogenates spiked with target analytes of interest; may be SRMs or CRMs; sometimes referred to as QC samples. When available, EPA-CRMs are recommended for routine use as laboratory control samples; see Appendix I)	Assess method performance (initial method validation and routine accuracy assessment). Used for initial accuracy assessment only if reference materials prepared from actual contaminated fish or shellfish are not available.	*Method validation*: As many as required to assess accuracy (and precision) of method before routine analysis of samples (i.e., when using a method for the first time or after any method modification).	Determined by program manager.	NA
		Routine accuracy assessment. One per 20 samples or one per batch, whichever is more frequent.	*Organics*: %R = 70-130.[d] *Metals*: %R = 85-115.[d]	Determine cause of problem (e.g., inaccurate calibration, inaccurate preparation of control samples), take appropriate corrective action, and reanalyze all suspect samples or flag all suspect data. Zero percent recovery requires rejection of all suspect data.
Matrix spikes (composite tissue homogenates of field samples to which known amounts of target analytes have been added; 0.5 to 5 times the concentration of the analyte of interest or 5 times the MQL)	Assess matrix effects and accuracy (%R) routinely.	One per 20 samples or one per batch, whichever is more frequent.	*Organics:* %R $\geq$50 with good precision. *Metals*: %R = 75-125.	Determine cause of problem (e.g., incomplete extraction or digestion, contamination), take appropriate corrective action, and reanalyze all suspect samples or flag all suspect data. Zero percent recovery requires rejection of all suspect data.

(continued)

Table 8-6 (continued)

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
Matrix spike replicates (replicate aliquots of matrix spike samples; 0.5 to 5 times the concentration of the analyte of interest or 5 times the MQL)	Assess method precision routinely.	One duplicate per 20 samples or one per batch, whichever is more frequent.	*Organics*: A difference of no more than a factor of 2 among replicates (i.e., approximately 50% coefficient of variation). **Note:** Pooling of variances in duplicate analyses from different sample batches is recommended for estimating the standard deviation or coefficient of variation of replicate analyses. *Metals*: |RPD| $\leq$20 for duplicates.	Determine cause of problem (e.g., incomplete extraction or digestion, contamination, instrument instability or malfunction), take appropriate corrective action, and reanalyze all suspect samples or flag all suspect data.
Laboratory replicates[e] (replicate aliquots of composite tissue homogenates of field samples)	Assess method precision routinely.	One blind duplicate sample per 20 samples or one per batch, whichever is more frequent.	*Organics*: A difference of no more than a factor of 2 among replicates (i.e., approximately 50% coefficient of variation). **Note:** Pooling of variances in duplicate analyses from different sample batches is recommended for estimating the standard deviation or coefficient of variation of replicate analyses. *Metals*: |RPD| $\leq$20 for duplicates.	Determine cause of problem (e.g., composite sample not homogeneous, instrument instability or malfunction), take appropriate corrective action, and reanalyze all suspect samples or flag all suspect data.

(continued)

Table 8-6 (continued)

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
Analytical Replicates (replicate aliquots of final sample extract or digestate)	Assess analytical precision.	Duplicate injections for all metal analyses.[f]	Determined by program manager.[g]	Determine cause of problem (e.g., instrument instability or malfunction), take appropriate corrective action, and reanalyze sample.
Field replicates (replicate composite tissue samples)	Assess total variability (i.e., population variability, field or sampling variability, and analytical method variability).	*Screening studies*: **OPTIONAL**; if program resources allow, a minimum of one blind replicate (i.e., duplicate) for each primary target species at 10 percent of screening sites.[g]	Determined by program manager.[g]	Determined by program manager.
		Intensive studies: Blind replicate samples for each target species (and size, age or sex class, if appropriate) at each sampling site. Number of replicates determined by program manager (see Section 6.1.2.7).	Determined by program manager.[g]	Determined by program manager.

(continued)

Table 8-6 (continued)

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
Contamination Assessment				
Blanks (field, method, processing, bottle, reagent) (see Section 8.3.3.6 for definitions)	Assess contamination from equipment, reagents, etc.	One field blank per sampling site. One method blank per 20 samples or one per batch, whichever is more frequent. At least one processing blank per study. At least one bottle blank per lot or per batch of samples, whichever is more frequent. One reagent blank prior to use of a new batch of reagent and whenever method blank exceeds control limits.	Concentration of any analyte <MDL or MQL, as determined by program manager.	Determine cause of problem (e.g., contaminated reagents, equipment), remove sources of contamination, and reanalyze all suspect samples or flag all suspect data.
Routine Monitoring of Method Performance for Organic Analyses				
Surrogate spikes (see Section 8.3.3.7.1 for definition)				
Prepared from isotopically labelled target analytes	Assess method performance and estimate recovery of organic target analytes analyzed by GC/MS. Determine RRFs of organic target analytes quantitated by isotope dilution techniques.	In every calibration standard, sample, and blank analyzed for organics by isotope dilution GC/MS; added to samples prior to extraction.	Determined by program manager.	Determine cause of problem (e.g., incomplete extraction or digestion, contamination, inaccurate preparation of internal standard), take appropriate corrective action, and reanalyze all suspect samples or flag all suspect data.

(continued)

Table 8-6 (continued)

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
Prepared from other surrogate compounds	Assess method performance and estimate the recovery of organic target analytes analyzed by GC/MS or GC/ECD.	In every calibration standard, sample, and blank analyzed for organics, unless isotope dilution technique is used: *Semivolatiles*: 3 for neutral fraction 2 for acid fraction *Volatiles*: 3 *Pesticides/PCBs*: 1 Added to samples prior to extraction.	Determined by program manager according to most recent EPA CLP guidelines.[h]	Determine cause of problem (e.g., incomplete extraction or digestion, contamination, inaccurate preparation of surrogates), take appropriate corrective action, and reanalyze all suspect samples or flag all suspect data.
External QA Assessment				
Accuracy-based performance evaluation samples (QA samples from NOAA interlaboratory comparison program; see Section 8.3.3.8.1)	Initial demonstration of laboratory capability.	Once prior to routine analysis of field samples (blind).	*Organics*: %R=70-130.[d] *Metals*: %R=85-115.[d]	Determine cause of problem and reanalyze sample. Do not begin analysis of field samples until performance evaluation sample results are acceptable.
	Ongoing demonstration of laboratory capability.	One exercise (four to six samples) per year (blind).	Determined by NOAA. Based on consensus value of all participating laboratories.	Determine cause of problem. Do not continue analysis of field samples until laboratory capability is clearly demonstrated.

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Table 8-6 (continued)

Sample type (definition; specifications)	Objective	Recommended frequency of analysis[a]	Recommended control limits[b]	Recommended corrective action
Split samples (laboratory replicates analyzed by different laboratories; see Section 8.3.3.8.2)	Assess interlaboratory comparability.	5-10% of composite homogenates split between States and/or Regions that routinely share monitoring results, or as determined by program managers.[g]	Determined by program managers.	Review sampling and analytical methods. Identify sources of noncomparability. Standardize and validate methods to document comparability.

CLP = Contract laboratory program.
CRM = Certified reference material (see Section 8.3.3.1).
GC/ECD = Gas chromatography/electron capture detection.
GC/MS = Gas chromatography/mass spectrometry.
MDL = Method detection limit (see Section 8.3.3.3.1).
MQL = Method quantitation limit (see Section 8.3.3.3.2).
NA = Not applicable.
NOAA = National Oceanic and Atmospheric Administration.
PCBs = Polychlorinated biphenyls.
QA = Quality assurance.
%R = Percent recovery (see Sections 8.3.3.4 and 8.3.3.7.1).
RF = Response factor (see Section 8.3.3.2.1).
RPD = Relative percent difference (see Section 8.3.3.5).
RRF = Relative response factor (see Section 8.3.3.2.1).
RSD = Relative standard deviation (see Section 8.3.3.5).
SRM = Standard reference material (see Section 8.3.3.1).

[a] Recommended frequencies are based primarily on recommendations in U.S. EPA (1986f, 1987e, 1989c, 1991b, 1991c), Puget Sound Estuary Program (1990d, 1990e), and Battelle (1989b).

[b] From Puget Sound Estuary Program (1990d, 1990e) action limits, except where otherwise noted. **Note:** Individual programs may require more stringent control limits. It is the responsibility of each program manager to set control limits that will ensure that the measurement data meet program data quality objectives.

[c] As available (see Table 8-8 and Appendix I).

[d] From U.S.EPA (1991e).

[e] Sometimes referred to as analytical replicates (e.g., in Puget Sound Estuary Program, 1990d).

[f] From U.S. EPA (1987e).

[g] Recommended by EPA for this guidance document.

[h] From U.S. EPA (1991b, 1991c).